



# Individuals with Disabilities Education Act of 2004 (IDEA), Part B

2021-22 Federal Programs Back to School Module

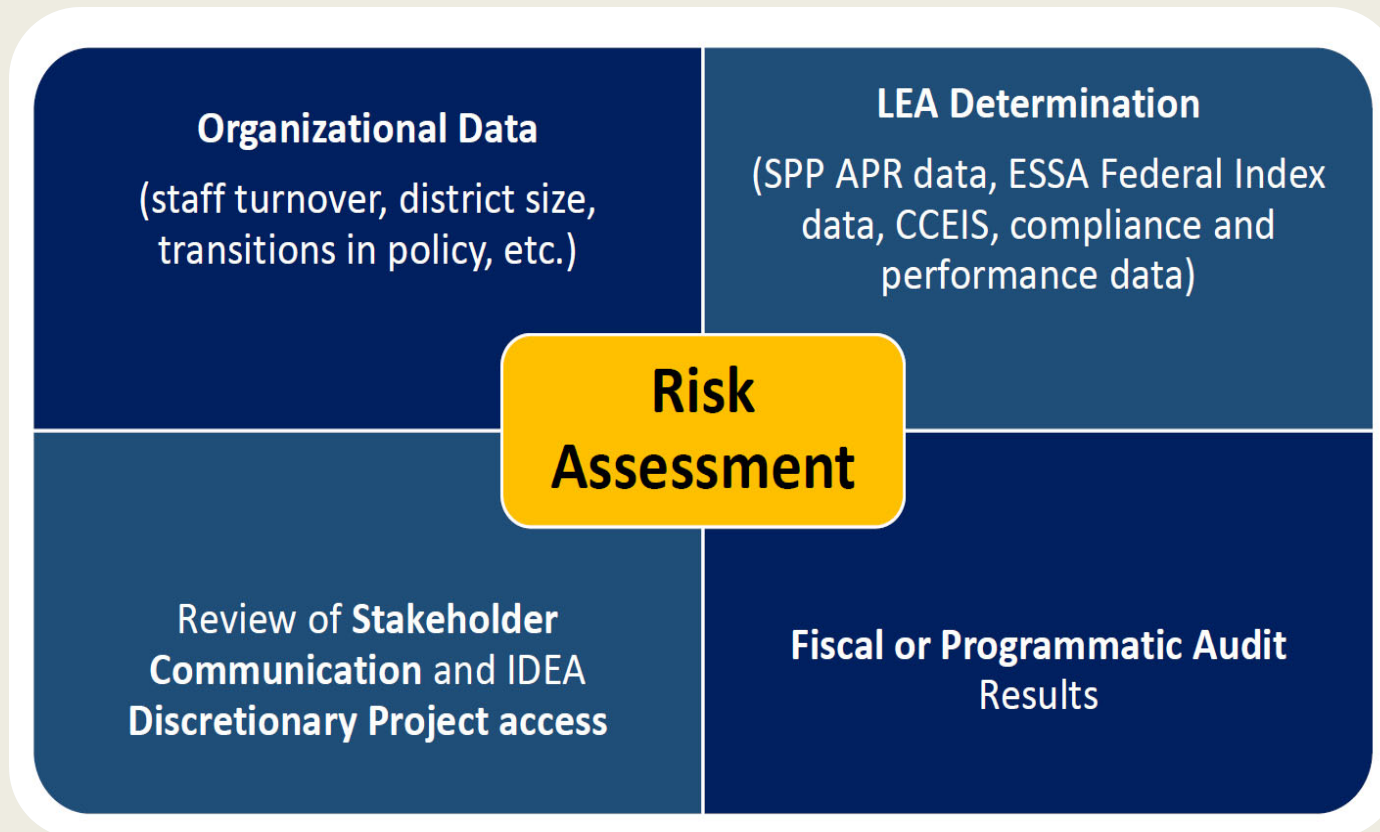
# 2021-22 Objectives

- 2021-22 Risk Assessment
- 2021-22 Monitoring Basics
  - Tier 1
  - Tier 2
  - Tier 3
- IDEA Entitlement Application & Amendment Basics
- Fiscal Updates
- Entitlement Resources
- BESE Fiscal Team Contacts



# 2021-22 Risk Assessment

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# 2021-22 Monitoring Basics

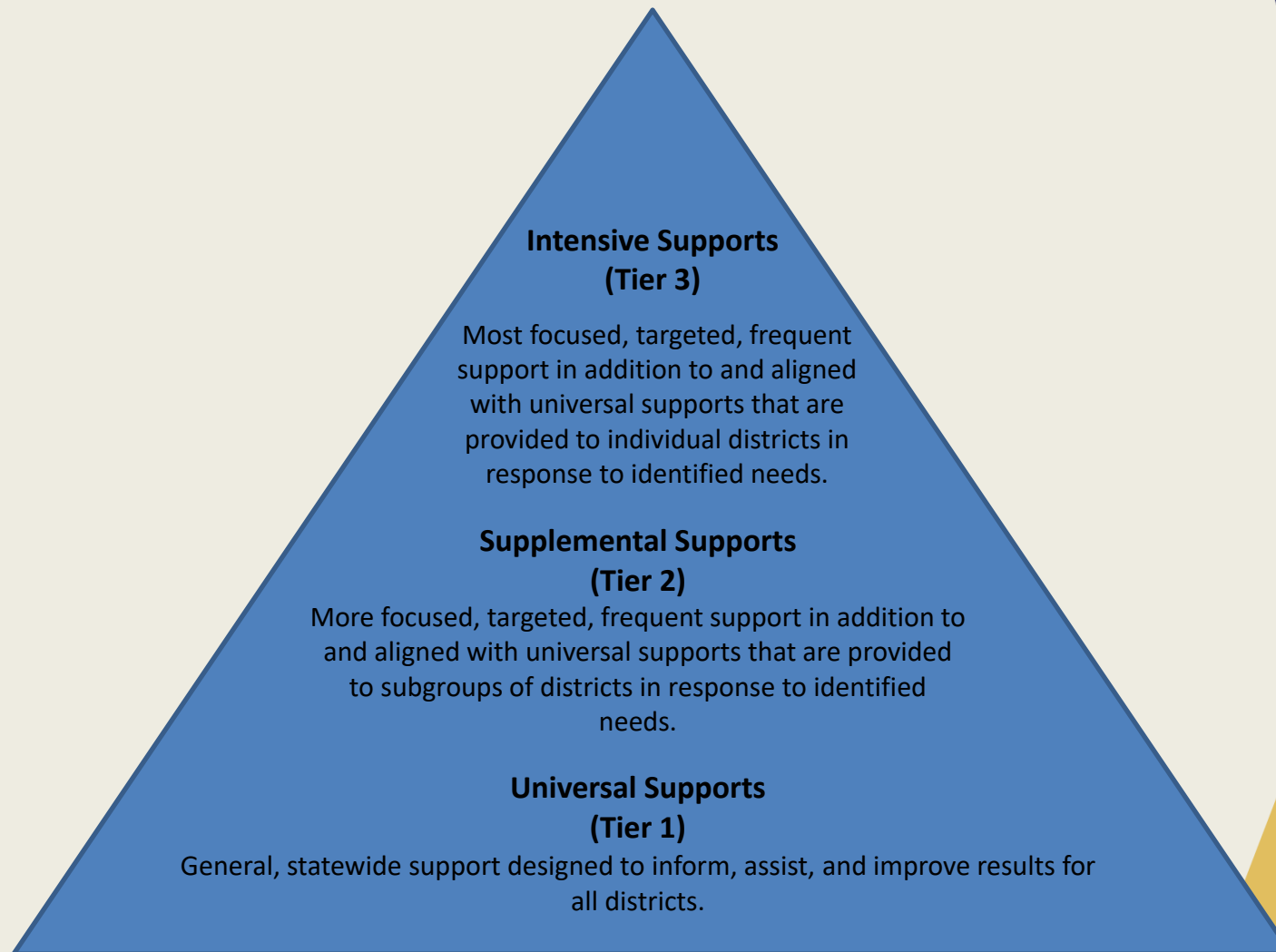


Florida Department of Education (FDOE)  
Bureau of Exceptional Education and Student Services  
Theory of Action

Six Key Practices	If FDOE Leads	Then Local Education Agency (LEA)	Then Schools	Then Students
1.  Uses Data Well	<ul style="list-style-type: none"> <li>Identify and respond to community needs</li> <li>Refine or create state systems of support</li> </ul>	<ul style="list-style-type: none"> <li>Establish clear expectations for data use</li> <li>Use data to identify need, measure implementation and impact on student learning</li> </ul>		<p>Will engage, progress, and graduate college, career and life ready.</p>  <p>Photos provided by Thinkstock</p>
2.  Focus Goals	<ul style="list-style-type: none"> <li>Establish common goals</li> <li>Provide products and services to facilitate focused goal setting and coherent plans</li> </ul>	<ul style="list-style-type: none"> <li>Establish priority on improving teaching and learning</li> <li>Ensure alignment of goals</li> <li>Take leadership responsibility for goal setting</li> </ul>		
3.  Select & Implement Shared Instructional Practices	<ul style="list-style-type: none"> <li>Serves to help districts improve quality of instruction to all students</li> <li>Establishes statewide system of supports to districts</li> </ul>	<ul style="list-style-type: none"> <li>Align standards-based instruction to district goals</li> <li>Build common language understanding</li> <li>Require ongoing progress monitoring</li> </ul>		
4.  Implement Deeply	<ul style="list-style-type: none"> <li>Limits state and district requirements</li> <li>Provides products and services that help districts fully implement strategies</li> </ul>	<ul style="list-style-type: none"> <li>Ensure consistency implementation of selected improvement strategies</li> <li>Require aligned school structures</li> <li>Provide support and accountability</li> </ul>		
5.  Monitor & Provide Feedback	<ul style="list-style-type: none"> <li>Helps districts understand relationship between monitoring for improvement and monitoring for compliance</li> </ul>	<ul style="list-style-type: none"> <li>Use district identified formative indicators for implementation</li> <li>Provide differentiated support</li> <li>Measure effectiveness</li> </ul>		
6.  Inquire & Learn	<ul style="list-style-type: none"> <li>Evaluates adult and student learning</li> <li>Recognizes continuous improvement of all students and specific groups of students</li> </ul>	<ul style="list-style-type: none"> <li>Pursue continuous improvement</li> <li>Establish decision-making process</li> <li>Provide active oversight of instruction</li> </ul>		

5(a): The Florida Department of Education, in collaboration with its internal and external stakeholders, has identified the measurable result of increasing the statewide graduation rate for students with disabilities from 52.3% (2012-13 graduates) to 62.3% (2017-18 graduates) and closing the graduation gap (baseline 23.2 percentage points in 2012-13) for students with disabilities in half (< 11.6 points). The SIMR is related to SPP/APR results indicator #1: Percent of youth with IEPs graduating from high school with a regular diploma. (20 U.S.C. 1416 (a)(3)(A))

# Multi-tiered System of Support for LEAs



# Universal Supports (Tier 1)

- FDOE and MTSS websites
- Special Programs and Procedures (SP&P) structure
- FDOE Technical assistance trainings and resources
- Discretionary project-provided professional development
- Level one self-assessments



## Supplemental Supports (Tier 2)

- Targeted assistance with specific indicators from BESE Indicator Teams
- Directors' conference calls
- Targeted attention and assistance from projects (by LEA/school request)
- Informal conflict resolution between educators and families
- Level two self-assessment

## Intensive Supports (Tier 3)

- **More** individualized, targeted assistance (e.g., specific indicator support from BESE Indicator Teams)
- **More** individualized, targeted attention and assistance from projects (by LEA/school request)
- Level three on-site monitoring visits and corrective actions
- Issued conditions or hold-backs on IDEA awards



# IDEA Entitlement Application and Amendment Basics

# Entitlement Application Basics

## IDEA Part B, K-12 and Preschool Entitlements

- To ensure all students with disabilities (SWD), ages 3 through 21, have the right to a free appropriate public education designed to meet their individual needs and prepare them for employment and independent living. Funds shall be used to supplement the **excess costs** of providing special education and related services to students with disabilities.
- Florida school districts, university research schools, and other LEAs, as defined in 34 Code of Federal Regulations (C.F.R.) § 300.18, providing educational programs and services to SWD.

# Entitlement Application Basics

## IDEA Part B, K-12 and Preschool Entitlements

- Submitted via the Online Grant Application System at <https://web03.fldoe.org/GrantsReporting/Default.aspx>
- DOE-100A forms are submitted via the OGM ShareFile folder
- Components
  - Application Narrative
  - K-12 and Preschool Budget
- Review process
  - BESE Program Office
  - Office of Grants Management (OGM)
  - Certified by the Comptroller's Office



# Application Basics

- Total Funding Amounts – amounts reserved for aid to districts per the approved RFA and the draft revised RFA.
  - Entitlement (611): Total \$798,832,500
    - IDEA Part B Entitlement: \$645,448,694
    - ARP IDEA Entitlement: \$153,383,806
  - Preschool Entitlement (619): Total \$25,934,615
    - IDEA Part B Preschool Entitlement: \$15,899,109
    - ARP IDEA Preschool Entitlement: \$10,035,506
- Final allocations are determined around December during roll forward amendment process.

# Uses of Funds

**IDEA funds may be used to pay the excess costs of special education and related services for students with disabilities (SWD). It is intended to supplement state, local and other federal funds.**

## Common Allowable Uses

- Personnel providing direct services to SWD
- Personnel providing support to IDEA program
- Materials, furniture, technology, software, and adaptive devices used by and for SWD
- IDEA related professional development

## Unallowable Uses

- School or local educational agency (LEA) level administration
- Use of funds for school-wide or LEA initiatives or functions
- Attorney fees and any other legal expenses in defense and prosecution of criminal and civil proceedings

# Excess Cost - Guiding Questions

- In the absence of special education needs, would this cost exist?

*If the answer is*

**NO**—then the cost is an excess cost and may be eligible.

**YES**—then the cost is NOT an excess cost and is NOT allowed.

- Is this cost also generated by non-disabled students?

*If the answer is*

**NO**—then the cost is an excess cost and may be eligible.

**YES**—then the cost is NOT an excess cost and is NOT allowed.

- If it is a child specific service, is the service documented in the student's IEP?

*If the answer is*

**YES**—then the cost is an excess cost and may be eligible.

**NO**—then the cost is NOT an excess cost and is not allowed.

# Amendment Forms

- Project Amendment Request Form ([DOE150](#))
- Budget Amendment Narrative Form ([DOE151](#))
- The signed copy of the DOE150 and/or DOE151 should be placed in the ShareFile for IDEA Part B Entitlement program.
- It is important to verify that you are submitting into the correct program folder.
- Use the correct project and TAPS numbers
  - Entitlement- XXX-2632B-2CB01, 22C001
  - Preschool- XXX-2672B-2CP01, 22C002
  - Entitlement ARP- XXX2632R-2CB01, 22CR01
  - Preschool ARP- XXX-2672R-2CP01, 22CR02

# Required Amendment Forms

Reason for Amendment	Required of Applicant			Response from DOE
	DOE 150	DOE 151	Written Request	Amendment DOE 200
Change in scope, goals, or objectives	X	X	-	X
Change in project evaluation scope	X	X	-	X
Change in deliverables	X	X	-	X
Change in work task	X	X	-	X
Change in key or types of personnel	X	X	-	X
Disengagement of project director	X	X		X
Obtaining services of third party	X	X	-	X
Budget revision to implement program amendment	X	X	-	X
Increase project amount	X	X	-	X
Revise project period	-	-	X	X
Change reporting dates (program or fiscal)	-	-	X	X
Decrease allocation	-	-	X	X
Terminate program	-	-	X	X

<http://www.fldoe.org/finance/contracts-grants-procurement/grants-management/project-application-amendment-procedure.html>



# 2021-22 Amendment Deadlines

**April 30, 2022**

- IDEA Part B, K-12 Entitlement
- IDEA Part B, Preschool Entitlement



# 2021-22 Fiscal Updates

Individuals with Disabilities Education Act, Part B

# Fiscal Updates

- The program office is reviewing IDEA Part B, entitlement applications
- A courtesy email will be sent from BESE once the LEA's application review is completed
- Please note, if an edit is requested by the Program Office, LEAs are to respond to the email as soon as possible

# IDEA American Rescue Plan (ARP) Funds

- On June 29, 2021, the U.S. Department of Education (ED) announced a series of actions to build from ARP's equity-building initiatives. USED's intentions are to advance equity in education while addressing the disparities exacerbated by the pandemic, reimagining the way schools deliver resources to students.
- It is important to note that American Rescue Plan Act of 2021 Elementary And Secondary School Emergency Relief Fund (ARP ESSER funds) are separate and apart from IDEA ARP funds

# IDEA ARP Opportunities

- Promoting accountability, transparency and effective use of funds
- Addressing the educational inequities that were exacerbated by the pandemic (learning loss)
- Supporting students' social, emotional, mental health and academic needs
- Providing more safe, in person learning opportunities for students quickly



# Use of Funds

- Funds may be used for all allowable purposes under Part B of IDEA and are subject to all requirements and provisions that apply to IDEA funds.
- Note that SEAs will continue to have the authority, as the pass-through entity, to review and approve LEA requests to use IDEA Part B funds for the purchase of equipment, including the alteration of existing facilities.<sup>2</sup>  
C.F.R. § 200.439(b) (1)–(3)

# IDEA ARP Funding Availability

- Funds are available for obligation by SEAs between July 1, 2021, and September 30, 2023, and must be liquidated by January 28, 2024.

# IDEA ARP Application Submission Process

- Applications will be emailed to all LEAs and submitted via a paper-based method using the OGM ShareFile Folder.
- Four project numbers for the 2021-22 SY
  - IDEA K-12, IDEA Pre-K, IDEA ARP K-12, IDEA ARP Pre-K
- IDEA ARP funds will be tracked separately from the regular IDEA funds.
- LEAs will submit 1 supplemental IDEA ARP application via the OGM ShareFile.



1\_IDEA Part B & ARP IDEA Part B + IDEA PreK & ARP IDEA PreK 263xx & 267xx



7\_Notif\_IDEA Part B & ARP IDEA Part B + IDEA PreK & ARP IDEA PreK 263xx & 267xx



# IDEA, Part B Resources

Individuals with Disabilities Education Act, Part B

# IDEA, Part B Resources

- **Federal Register 2004 IDEA** (regulations begin on page 46756)  
<https://www.gpo.gov/fdsys/pkg/FR-2006-08-14/pdf/06-6656.pdf>
- **IDEA Regulations: 34 C.F.R. Parts 300**  
[https://www.ecfr.gov/cgi-bin/text-idx?SID=eebe381367876bf57fe8d413b076737c&mc=true&node=se34.2.300\\_1601&rgn=div8](https://www.ecfr.gov/cgi-bin/text-idx?SID=eebe381367876bf57fe8d413b076737c&mc=true&node=se34.2.300_1601&rgn=div8)
- **OSEP Policy Letters and Policy Support Documents**  
<https://sites.ed.gov/idea/policy-guidance/>
- **BESE Resource and Information**  
<https://www.fldoe.org/academics/exceptional-student-edu/beess-resources/>
- **IDEA American Rescue Plan Funds**  
<https://www2.ed.gov/policy/speced/leg/arp/index.html>
- **ARP-IDEA Facts Sheet**  
<https://www2.ed.gov/policy/speced/leg/arp/arp-idea-fact-sheet.pdf>



# BESE Fiscal Team Contacts



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